



## REQUEST FOR CONTINUED EXAMINATION (RCE)

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/777,280
Filing Date	February 5, 2001
First Named Inventor	Yonezawa, et al.
Group Art Unit	1763
Examiner Name	Luz L. Alejandro
Attorney Docket Number	07977-264001

### This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

**NOTE:** 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

#### 1. Submission required under 37 C.F.R. §1.114

##### a. ☐ Previously submitted

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_

##### b. ☒ Enclosed

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

#### 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

#### 3. Fee The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050
  - i. ☒ RCE fee required under 37 C.F.R. §1.17(e) 01/08/2003 AWONDAF1 00000079 09777280
  - ii. ☒ Extension of time fee (37 C.F.R. §§1.136 and 1.17) 01 FC:1801 750.00 OP
  - iii. ☒ Other Any deficiencies 02 FC:1201 84.00 OP
- b. ☒ Check in the amount of \$ 1,134 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Scott C. Harris	Registration No. (Attorney/Agent)	32,030
Signature		Date	January 2, 2003

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to **Commissioner for Patents, Box RCE, Washington, DC 20231** or facsimile transmitted to the U.S. Patent and Trademark Office on: January 2, 2003

Name (Print/Type)	Susan Regan	Date	January 2, 2003
Signature			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yonezawa, et al. Art Unit : 1763  
Serial No.: 09/777,280 Examiner : Luz L. Alejandro  
Filed : February 5, 2001 Confirmation No. : 3403  
Title : CONVEYOR DEVICE AND FILM FORMATION APPARATUS FOR A  
FLEXIBLE SUBSTRATE

Box RCE  
Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT

Sir:

Prior to examination, please amend the above-identified application as follows.

In the Claims:

Please amend the following claims.

1. (Amended) A film formation apparatus for a flexible substrate, said film formation apparatus comprising:

at least two rollers for continuously conveying the flexible substrate from one end to the other end;

a plurality of cylindrical rollers being provided between the one end and the other end along an arc with a radius R;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 2, 2003

Date of Deposit

Signature

Susan Regan

Typed or Printed Name of Person Signing Certificate

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